

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number	10766784	Docket Number	CHAP.0104
Filed	1/28/2004	Group Art Unit	2187
Examiner	Matthew A BradleyMatthew A Bradley	Customer No.	23669
Application Title	STORAGE CONTROLLER AND METHOD FOR PERFORMING HOST ACCESS CONTROL IN THE HOST INTERFACE ADAPTER		
First Named Inventor	Ian Robert Davies		

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Mail Stop **AMENDMENT**
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Attached hereto is Form PTO-1449, submitted under 37 CFR 1.97(d) and 1.97(e)(2), listing documents believed relevant to the subject application. It is respectfully requested that the examiner review the information disclosed herein in detail, independently evaluate each item carefully in the consideration of the pending claims and return an initialed copy of each form to the undersigned.

This disclosure statement should not be construed as a representation that a search has been made, that no other material information as defined in 37 CFR 1.56(a) exists, or as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b) or is available as a reference under 35 U.S.C. §102 *et seq.* Applicant reserves the right to swear behind or otherwise disprove the alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

The enclosed documents may have markings thereon. No significance is intended to be attached to the markings.

- ☐ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement
- ☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

- ☐ Attached hereto is a check for the fee set forth in 37 CFR 1.17(p).
- ☒ The Director is hereby authorized to charge \$180 to cover the payment of the fee(s) indicated above to Deposit Account No. 50-3914.
- ☐ Attached hereto is a Credit Card Payment Form (PT0-2038) authorizing a charge for the fee set forth in 37 CFR 1.17(p).

Respectfully submitted,

/E. Alan Davis/

By: _____

E. ALAN DAVIS
Registration No. 39,954
Tel: (512) 301-7234

5/16/2007

Date: _____

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known Application Number 10766784 Filing Date 1/28/2004 First Named Inventor Ian Robert Davies Group Art Unit 2187 Examiner Name Matthew A Bradley Attorney Docket Number CHAP.0104		
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		20020194294	12-19-2002	Blumenau et al.	
		20010023463	09-20-2001	Yamamoto et al.	
		5948062	09-07-1999	Tzelnic et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST_3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST_16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**

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